

1648

TMH:dc/15/04 6395-64907 262110  
1-03/07/1

PATENT  
Attorney Reference Number 6395-64907

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Chang

CERTIFICATE OF MAILING

Application No. 09/701,536

Filed: June 18, 2001

Confirmation No. 5492

For: NUCLEIC ACID VACCINES FOR  
PREVENTION OF FLAVIVIRUS  
INFECTION

Examiner: Jeffrey S. Parkin

Art Unit: 1648

Attorney Reference No. 6395-64907

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney  
for Applicant(s)

Tanya M. Harding, Ph.D.

Date Mailed March 15, 2004

COMMISSIONER FOR PATENTS  
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ALEXANDRIA, VA 22313-1450

TRANSMITTAL LETTER

Enclosed for filing in the application referenced above are the following:

- ☒ Supplemental Information Disclosure Statement
- ☒ Form 1449 and references cited thereon (3)
- ☒ The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Deposit Account No. 02-4550. A copy of this sheet is enclosed.
- ☒ Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

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By

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TMH: 03/15/04 6395-64907 I-008-97/1

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
PURSUANT TO 37 C.F.R. § 1.97(b)(3)**

COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

Listed on the accompanying form PTO-1449 and enclosed herewith are three English-language documents. Applicant respectfully requests that these documents be listed as references cited on the issued patent.

Applicant are filing this Information Disclosure Statement ("IDS") before the mailing date of a first Office action on the merits. As a result, no fee should be required to file this IDS. It is believed that no fee is due to file this IDS. If the Commissioner determines that a fee is due, Deposit Account authority is provided on the accompanying transmittal letter.

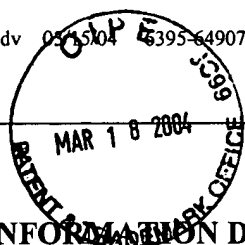
The filing of this IDS shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

Respectfully submitted,  
KLARQUIST SPARKMAN, LLP

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Attorney Docket Number	6395-64907
Application Number	09/701,536
Filing Date	June 18, 2001
First Named Inventor	Chang
Art Unit	1648
Examiner Name	Jeffrey S. Parkin

## U.S. PATENT DOCUMENTS

NOTE: If this application was filed after June 30, 2003, copies of United States patents and United States published patent applications do not have to be provided to the Patent Office. This requirement of 37 C.F.R. § 1.98(a)(2)(i) has been waived by the United States Patent and Trademark Office pursuant to the Official Gazette Notice on August 5, 2003 (1276 OG 55).

Examiner's Initials*	Cite No. (optional)	Number	Publication Date	Name of Applicant or Patentee
		6,455,509	09/24/02	Kochel <i>et al.</i>
		6,136,561	10/24/00	Ivy <i>et al.</i>

## FOREIGN PATENT DOCUMENTS

Examiner's Initials*	Cite No. (optional)	Country	Number	Publication Date	Name of Applicant or Patentee

## OTHER DOCUMENTS

Examiner's Initials*	Cite No. (optional)	
		YASUI, <i>et al.</i> , "Analysis of Japanese encephalitis (JE) virus genome and implications for recombinant JE vaccine," <i>Southeast Asian J. Trop. Med. Public Health</i> , 21(4):663-669. 1990.

EXAMINER  
SIGNATURE:

DATE  
CONSIDERED:

\* Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.